

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Charles R. Haskins

Serial No.: 09/381,243

Filing Date: January 21, 2000

For: Method and System for Establishing,
Monitoring, and Reserving a Guaranteed
Minimum Value Return on Select
Investments

Examiner: HARBECK, Timothy M.

Art Unit: 3692

Confirmation No.: 3704

CERTIFICATE OF FACSIMILE TRANSMISSIONI hereby certify that this correspondence is being facsimile transmitted
to the U.S. Patent Office to Fax No. (571) 273-8300 on June 1, 2007

Signature

Print Name Lekha GopalakrishnanRESPONSE TO NON FINAL OFFICE ACTIONCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants have considered the Office Action mailed December 1, 2006 and offer the following amendments and remarks. This response is being filed with a three month extension of time on or before June 1, 2007, thus making it a timely response. Although the Applicants do not believe any additional fees are required, the Commissioner is authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 50-0310 (Our Docket No. 064385-5030).